# Introduction to Biomaterials and Biomolecular Self Assembly

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# Outline

- Introduction to Statistical Mechanics
  - Magnetic system with two states (up and down)
  - > Entropy
  - Boltzman factor
- Diffusion
- Polymers
  - Polymer Conformation
- Copolymers
  - > Melts (SCMF)
  - Micelles (Simulations)

• Relationship of number of accessible states to entropy

$$\sigma = \log g (1)$$

• Transfer of energy between two systems, increases entropy and achieves the same temperature

$$\frac{1}{\tau} \equiv \left(\frac{\partial \sigma}{\partial U}\right)_{N,V}$$
(2)

• Probability of finding a system at energy  $\varepsilon$  over 0

$$\frac{P(\varepsilon)}{P(0)} = \frac{g(U_0 - \varepsilon)}{g(U_0)} = \frac{\exp[\sigma(U_0 - \varepsilon)]}{\exp[\sigma(U_0)]}$$
(3)

• Thermal average energy at temperature  $\tau$ 

$$<\varepsilon>=\sum_{i}\varepsilon_{i}P(\varepsilon_{i})$$
 (4)

• Helmholtz free enegy  $F \equiv U - \tau \sigma$  (5)

- Fundamental assumption: equally likely to be found in any of the quantum states accessible to it
- Each quantum state has a definite energy
- Multiplicity or degeneracy: quantum states that have nearly the same energy
- Important: number of quantum states, not number of energy levels



Atomic hydrogen

- Magnetic system: N non-interacting spins
- Spin value:  $+m(\uparrow)$  or  $-m(\downarrow)$
- 2<sup>N</sup> equally accessible arrangements
- Each arrangement specifies the configuration of the 1<sup>st</sup>, 2<sup>nd</sup>,...N<sup>th</sup> spin:

#### $\ldots \uparrow \uparrow \downarrow \downarrow \downarrow \uparrow \uparrow \downarrow \downarrow \downarrow \downarrow \uparrow \downarrow \downarrow \downarrow \downarrow \downarrow \cdots$

- Total magnetization M: Nm, (N-2)m, (N-4)m,... -Nm
  - > The same value of M can occur with multiple arrangements

• Spin excess with *s* being an integer having  $N_{\uparrow}$  spins up and  $N_{\downarrow}$  spins down:

$$N_{\uparrow} - N_{\downarrow} = 2s$$

• The multiplicity factor or the number of arrangements [g(N,s)] for a system of N magnets that have the same value of *s*:

$$g(N,s) = \frac{N!}{\left(\frac{1}{2}N+s\right)!} \left(\frac{1}{2}N-s\right)!} = \frac{N!}{N_{\uparrow}!N_{\downarrow}!}$$

- Application of magnetic field leads to a different energy for states with a different *s*
- Total number of arrangements:

$$\sum_{s=-\frac{1}{2}N}^{s=\frac{1}{2}N} g(N,s) = 2^{N}$$

# Sharpness of the Multiplicity Function

- N! prevents calculation of multiplicity for large values of N
- Gaussian distribution (using the Stirling approximation) allows for the approximation of multiplicity when N >> 1 and |s| << N
  - > Sharp peak at s = 0
  - System contains stable physical properties

$$g(N,s) \cong g(N,0) \exp\left(\frac{-2s^2}{N}\right)$$
 when  $g(N,0) \cong 2^N \left(\frac{2}{\pi N}\right)^{1/2}$ 

• Average values are necessary – macroscopic measurements of a constantly changing system

- Constant: energy, number of particles, volume, and external parameters affecting the system
- Probability (P) of a system being in an accessible state (s)

$$P(s) = \frac{1}{g}$$

- Two systems in thermal contact
  - > Energy (only) is transferred freely between the two
  - Total energy is constant
  - > Number of accessible states in the combined system:

$$g(N,s) = \sum_{s_2} g_1(N_1,s_1)g_2(N_2,s-s_1)$$

- $N_1, N_2$ , and *s* are constant
- > Most probably conformation when  $g_1g_2$  is at a maximum; dictates most of the properties of the system

• Using (1), relate entropy of two systems in thermal contact

$$\left(\frac{\partial \sigma_1}{\partial U_1}\right)_{N_1} = \left(\frac{\partial \sigma_2}{\partial U_2}\right)_{N_2}$$

• (2) comes from: the equation above, the thermal contact of the two systems, and:

$$\tau = k_B T$$

> Boltzman constant:  $k_{\rm B} = 1.381 \text{ x } 10^{-23} \text{ joules/Kelvin}$ 

• Positive change in entropy when the two systems come into contact:

$$\Delta \boldsymbol{\sigma} = \left(\frac{\partial \boldsymbol{\sigma}_1}{\partial \boldsymbol{U}_1}\right)_{N_1} \left(-\Delta \boldsymbol{U}\right) + \left(\frac{\partial \boldsymbol{\sigma}_2}{\partial \boldsymbol{U}_2}\right)_{N_2} \left(\Delta \boldsymbol{U}\right) = \left(-\frac{1}{\tau_1} + \frac{1}{\tau_2}\right) \Delta \boldsymbol{U}$$

#### Entropy Flow Between Systems

- Energy flow: from the system with higher temperature  $(U_1)$  to system with lower temperature  $(U_2)$
- The entropy increases until the system reaches the most probable configuration



# Ways to Increase the Entropy of a System



# Laws of Thermodynamics

- Zeroth law: if two systems are in thermal equilibrium with a third system, they must be in thermal equilibrium with each other
- First law: heat is a form of energy (conservation of energy)
- Second law: if a closed system is in a configuration that is not the equilibrium configuration, the most probably consequence will be that the entropy of the system will increase (law of increase of entropy)

$$\sigma_{final} \cong \log(g_1g_2)_{\max} \ge \sigma_{initial} = \log(g_1g_2)_0$$

• Third law: the entropy of a system approaches a constant value as the temperature approaches zero

• Closed system: small system in thermal contact with a very large system (reservoir)



- When *S* is in a specific state the total number of accessible states =  $g_{\Re}$
- A Taylor series expansion on (3) altered for the two states above gives:  $\frac{P(\varepsilon_1)}{P(\varepsilon_2)} = \frac{\exp(-\varepsilon_1/\tau)}{\exp(-\varepsilon_2/\tau)}$ 
  - Soltzmann factor: term in the form of  $exp(-\epsilon/\tau)$
  - Probability of finding the system in quantum state 1 versus 2

# Partition Function and Helmholtz Free Energy

- Partition function:  $Z(\tau) = \sum_{s} \exp(-\varepsilon_{s} / \tau)$
- Proportionality between the probability and the Boltzmann factor  $P(\varepsilon_s) = \frac{\exp(-\varepsilon_s / \tau)}{Z}$
- The average energy for *S* given in (4) is *U* or  $<\epsilon>$
- Helmholtz free energy (5) shows the balance of a system with minimum energy and maximum entropy
- Relation of the Helmhotlz and the partition function:

$$Z = \exp\!\left(-\frac{F}{\tau}\right)$$

• Relation of probability to the Helmholtz and the energy

$$P(\varepsilon_s) = \frac{\exp(-\varepsilon_s / \tau)}{\exp(-F / \tau)} = \exp\frac{F - \varepsilon_s}{\tau}$$

# Introduction to Diffusion

- The movement of atoms or molecules from an area of higher concentration to an area of lower concentration
- Time dependent process
- Break present bonds and form new bonds
- Surrounding atoms may need to be pushed out of the way
- Energy of vibration and movement comes from surrounding heat
- Self-diffusion within same material; no macroscopic change
- Inter / impurity diffusion between two different materials; change in concentration

### Example of Diffusion



# Range of Structure in the Solid State





- Crystalline: symmetry, lattice structure
- Amorphous or noncrystalline: no symmetry
- Close-packed structure: densely packed
- Open structure: loosely packed
- Crystallinity does not dictate open or close-packed
- Materials can be crystalline, polycrystalline, or amorphous
- Defects: small as an atom (vacancy) → large enough to see with naked eye (cracks)
- Impurity: interstitial atom

- Occurs more often with open structures
- Vacancy must be present in structure
- Atom replaces a vacancy from a similar sized atom
- See with self of interdiffusion



- Occurs more often with open structures
- Atom moves between other atoms of the structure
- Atom tends to be smaller in diameter
- More probable to occur versus vacancy diffusion



• Diffusing atom follows a random path



http://butler.cc.tut.fi/~materwww/minustako/minustako.html

- Fickian or Type I diffusion
- Steady-state
- Diffusion flux (*F*) rate of transfer per unit area of cross section
- Diffusion constant (*D*) –dependent on identity of solute and type of diffusion
- Concentration (*C*)
- Distance (*X*) coordinate normal to cross section
- Concentration gradient slope of the line

 $F = -D\frac{\partial C}{\partial x}$ 



- Nonsteady-state
- Diffusion flux and concentration gradient vary with time
- Seen in practical situations
- Time (*t*)





Callister, William D. Jr. Materials Science and Engineering an Introduction, 6th Ed.

## Introduction to Polymers



- Many monomers  $\rightarrow$  polymer
  - Many possible functional groups: hydrocarbon, alcohol, ether, acid, aromatic, ...
  - Monomers help determine properties and three-dimensional structure
- Linear, branched, crosslinked, network
- Homopolymer or copolymer
  - Examples: plastics, DNA, proteins



# Mechanical Properties of Polymers

- Stress and strain tests
  - > Tension
  - Compression
  - Shear and torsion
- Load is applied uniformly until material is deformed
- Adhesion



• Polymer movement in a solution is dominated by entropy

$$\sigma = \log \left[ \Re_N \begin{pmatrix} \rightarrow \\ r \end{pmatrix} \right]$$

- > Number of conformations of the polymer  $(\Re)$
- Without interactions movement is a random walk
- With interactions movement is a self-avoiding walk (saw)
- Interactions are either within a polymer chain or between two or more chains
- In different environments the conformation is affected
  - > In different solutions, in blends, or if monomers are charged, etc.

# Polymer Conformation

- Flexibility of polymers comes from rotation of single bonds in the backbone  $\mathbb{P}$   $\mathbb{P}$   $\mathbb{P}^{\mathbb{R}^{"}}$ 
  - > Typically C-C bonds



- Steric repulsions cause the rotation to be hindered, with three staggered conformations energetically favored
  - > Gauche (G), trans (T) and gauche' (G')



- Calculation of the average shape of a flexible polymer chain, including all bonding details, is demanding
- The oversimplified model can be modified to describe the shape of real polymer molecules in statistical terms

# Random Walk

- Freely jointed polymer moving by random walk in solution
  - Polymer solution concentration in a good solvent must be small enough so the polymer does not interact with itself or another polymer
- Multiple steps for polymer to get to one conformation
- Vector connecting one end of the polymer to the other

$$\vec{r} = \vec{r}(N) - \vec{r}(0)$$

> Vector r is also the sum of N jump vectors  $l_i$ 

$$\vec{r} = \sum_{i=1}^{N} \vec{l}_{i}$$

$$N)$$
  
 $\vec{r}(0)$ 

 $\overrightarrow{r}($ 

- For each jump, the polymer has an equal probability of moving in any direction
  - > The vectors cancel when averaged



• The average square end-to-end distance is directly proportional to N  $\rightarrow \rightarrow \rightarrow$ 

$$\stackrel{\rightarrow}{<} \stackrel{\rightarrow}{r \cdot r} \stackrel{\rightarrow}{>=} \stackrel{\rightarrow}{<} \stackrel{\rightarrow}{r^2} >$$

 $\langle \stackrel{\rightarrow}{r} \rangle = 0$ 

$$<\vec{r^{2}}>=\left\langle\sum_{i=1}^{N}\vec{l}_{i}\cdot\sum_{k=1}^{N}\vec{l}_{k}\right\rangle=\sum_{1}^{N}\left\langle\vec{l}_{i}\cdot\vec{l}_{k}\right\rangle=\sum_{1}^{N}\left\langle\vec{l}_{i}^{2}\right\rangle$$

All of the cross products cancel

$$\langle \overrightarrow{r^2} \rangle = l^2 N + 0 = l^2 N$$

> l is the persistence length, depends on rigidity of polymer

# Random Walk

- Probability of the vector **r** 
  - > A gaussian shape is seen when N>>1

- $P\left(\stackrel{\rightarrow}{r}\right) = \frac{\Re_{N}\left(\stackrel{\rightarrow}{r}\right)}{\sum_{r} \Re_{N}\left(\stackrel{\rightarrow}{r}\right)}$
- Probability of the vector **r** in three directions

$$P\left(\stackrel{\rightarrow}{r}\right) = P(x, y, z)$$

$$P(x, y, z) \propto \exp\left(\frac{-x^2}{2 < x^2 > 0}\right) \cdot \exp\left(\frac{-y^2}{2 < y^2 > 0}\right) \cdot \exp\left(\frac{-z^2}{2 < z^2 > 0}\right)$$

$$P\left(\stackrel{\rightarrow}{r}\right) \propto \exp\left(\frac{-3r^2}{2l^2N}\right)$$

• Relation of random walk to the Fick's second law of diffusion:  $\partial P$ 

$$t = N ; D = \frac{l^2}{6}$$

 $\geq$ 

$$\frac{\partial P}{\partial t} = D\nabla^2 P$$

• From statistical mechanics:

$$F \equiv U - \tau \sigma$$

• Therefore:

$$\frac{F}{k_{B}T} = \frac{U}{k_{B}T} - \sigma$$

- Negligible enthalpy: no interactions within or between polymer molecules
- Since:

$$\sigma = \frac{3r^2}{2l^2N}$$

• Therefore:

$$P\left(\stackrel{\rightarrow}{r}\right) \propto \exp\left(\frac{-F\left(\stackrel{\rightarrow}{r}\right)}{k_B T}\right)$$

Concentration (c) of polymer solution is great enough there are interactions either within a polymer chain or between chains

$$c = \frac{N}{\frac{4}{3}\pi \cdot r^3}$$

- > Interaction causes a penalty in energy (v)
- Have interactions: must consider enthalpy as well as entropy

$$\frac{U}{k_{B}T} = v \int_{0}^{r} c^{2}(r) d^{3}r = v \frac{N^{2}}{\frac{4}{3}\pi \cdot r^{3}}$$

# Free Energy of Self-avoiding Walk

- When v > 0: good solvent or **SAW**
- The solvent wants to be homogeneously mixed causing swelling of the chains.
- Minimize F with respect to R to get the Flory exponent in good solvent, which is close to the numerical value
- $F^{en}$ : from entropy  $F = F^{en} + F^{ex}$
- *F<sup>ex</sup>*: from excluded volume
- From random walk:

$$F^{en} = \frac{3r^2}{2l^2N}$$

• Using the Flory argument:

$$F^{ex} \approx \upsilon \frac{\left(N/r^3\right)^2}{2} r^3 \approx \frac{\upsilon}{2} \frac{\left(N\right)^2}{r^3}$$

• When v > 0 the chain is in a good solvent in SAW



- When v < 0 the chain is in a bad solvent: the structure collapses  $r \approx N^{\frac{1}{3}}$ 
  - > This situation has free energy with three terms
- When v = 0 the chain is a random walk, because there are no interactions

$$r \approx N^{\frac{1}{2}}$$



Degree of incompatibility:  $v=1-2\chi$  $\chi \rightarrow$  energetic N  $\rightarrow$  entropic volume fraction  $\phi$ 

#### **Semidilute Solutions**





Degree of incompatibility: v is reduced by N then ideal chains if  $N_1 = N$ Expansion of F(R) for  $R \sim R_o (1 + 4z/3 + ...) z \sim v N^{1/2}/b^3$ (from Flory at  $R = R_o$ ) then if  $v \sim 1/N_1$  then  $z \sim N^{1/2}/N_1$


# Copolymers

- Covalently linked repeat units with different chemistries into the same polymer chain
- Combining existing monomers is more financially feasible than inventing new monomers
- The copolymer retains properties of both constituent repeat groups





# **Copolymer Sequence Distributions**



• ABS: acrylonitrile, butadiene, styrene



• SBS: styrene-butadienestyrene





• Controlled polymerization varies composition along the chain

## Copolymer sequence distributions



g(n) = the average composition of repeat unit A along the backbone of the chain

There are many possible sequence distributions and monomers to choose from when designing new polymeric materials

Theory can be used to explore the parameter space and guide the design process

Polymers have a high coordination number

Mean field theory is accurate when fluctuations are not important



Use theory to explore different copolymer sequence distributions

Ordering in the melt Interfacial behavior Ordering in blends

## Microphase separation: block copolymers



# Scattering function



Scattering peak forms at L<sub>c</sub> from disordered periodic fluctuations





#### Lamellar microphases



= repeat distance of the lamellar layers

#### Self-consistent mean field theory



## Volume fraction profiles



# Equilibrium repeat length

- L/R<sub>g</sub> found for each χN from minimum in free energy
- For a given χN, L/R<sub>g</sub> decreases with increasing gradient
- For block copolymers, the repeat length scales as:

$$\frac{L}{R_g} \propto (\chi N)^{1/6}$$





#### Universal curve



#### **Microemulsions**

Oil and water can be made miscible by adding a surfactant



# **Polymeric microemulsions**



Poly(ethylene-propylene) and Poly(butylene oxide) PEP-PBO + PEP + PBO



The more flexible PBO component induces a spontaneous curvature toward the PBO domains



Can a spherical micellar microemulsion form?



Copolymer sequence distributions



Preferred interfacial curvature changes as copolymer accumulates

Copolymer segregates to the interface

 $\chi_{bc}N_{cb} = 0$  to -6

## **Experimental system**



## **Curved** interfaces

When the curvature changes, swollen micelles can "pinch off" from the interface to accommodate the preferred curvature and maximize B-C contacts



## Short amphiphiles



Short amphiphilic chain of 10 units with  $f_b = 0.2$ :  $A_8B_2$ 

Short 2-unit solvents:  $A_2$  and  $C_2$ 

Swollen micelles form in the  $A_2$  matrix

#### MD – Swollen micelles

<mark>-∲<sub>cop</sub>-</mark>

= 9.3%





30

cop

∼au

#### Inverse swollen micelles



Shorter amphiphilic chain of 6 units with  $f_b = 0.33$ :  $A_4B_2$ 

Short 2-unit solvents:  $A_2$  and  $C_2$ 

Inverse swollen micelles form in the  $C_2$  matrix

## MD – Inverse swollen micelles



## Polymers



Find the equilibrium curvature as  $f_b$  and  $\chi_{bc}$  are varied

## Self-consistent mean field theory

Matsen, M.W. J. Chem. Phys. 110 4658 (1999)

- Numerical solution to the modified diffusion equation
- Use spherical or planar geometry
- Find volume fraction profiles of each polymer
- Equilibrium when the chemical potential of each component is uniform
- Find copolymer chemical potential where  $F_{xs} = 0$



# Equilibrium radius



- Fix the radius of the interface
- Solve the equations between  $R_i$ - $\Delta R$  and  $R_i$ + $\Delta R$
- Use spherical or planar geometry
- At each radius find  $\mu_{\text{cmc}}$

# Equilibrium radius



- Fix the radius of the interface
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#### Equilibrium curvature







Volume fraction profiles



A nanoparticle can be encapsulated in the center of a swollen micelle



Choose a particle that has attractive interactions with the C homopolymer



# Future directions: Copolymers in liquids

SCFT works well for concentrated polymer solutions



Important to use aqueous systems for drug delivery Can use polymers in many applications where surfactants are used

# Summary



- RPA gradient copolymers have higher order-disorder transitions than block copolymers
- SCFT gradient copolymers have weakly segregated lamellar layers even at high  $\chi N$





II. Interfacial behavior of block, random, and gradient copolymers SCFT and interfacial experiments show that gradient copolymers exhibit intermediate interfacial behavior



#### III. Interfacial curvature of diblock copolymer monolayers

SCFT – predicts the formation of spherical micellar microemulsions

Can use results as a guide when designing future



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